

Theory of Raman and resonant inelastic x-ray scattering from collective orbital excitations in YTiO_3

L. J. P. Ament

Institute-Lorentz for Theoretical Physics, Universiteit Leiden, P.O. Box 9506, 2300 RA Leiden, The Netherlands

G. Khaliullin

Max-Planck-Institut für Festkörperforschung, Heisenbergstrasse 1, D-70569 Stuttgart, Germany

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We present two different theories for Raman scattering and resonant inelastic x-ray scattering (RIXS) in the low-temperature ferromagnetic phase of YTiO_3 and compare this to the available experimental data. For description of the orbital ground state and orbital excitations, we consider two models corresponding to two theoretical limits: one where the t_{2g} orbitals are degenerate and the other where strong lattice distortions split them. In the former model the orbitals interact through superexchange. The resulting superexchange Hamiltonian yields an orbitally ordered ground state with collective orbital excitations on top of it—the orbitons. In the orbital-lattice model, on the other hand, distortions lead to local dd transitions between crystal-field levels. Correspondingly, the orbital response functions that determine Raman and RIXS line shapes and intensities are of cooperative or single-ion character. We find that the superexchange model yields theoretical Raman and RIXS spectra that fit very well to the experimental data.

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I. INTRODUCTION

In many $3d$ transition-metal compounds, such as the titanates and colossal magnetoresistance manganites, the low-energy orbital degrees of freedom are (approximately) degenerate. This leads to all kinds of interesting phenomena such as cooperative Jahn-Teller (JT) distortions, orbital frustration, and strong spin-orbit coupling. Orbitals on neighboring orbitally active ions can be coupled via JT distortions and via superexchange.^{1–3} Both couplings can give rise to an orbitally ordered ground state. However, the nature of the orbital excitations on top of the orbitally ordered ground state is very different depending on the coupling mechanism. In a pure superexchange system, collective excitations called “orbitons” emerge. These collective orbital excitations are coherent waves of excited orbitals similar to the spin-wave excitations in spin systems. When this system is coupled to the lattice, as is the case when JT distortions are present, interaction with phonons destroys the orbiton coherence. The distortions lift the orbital degeneracy and the excitations become local dd excitations.

The titanates, with a pseudocubic perovskite lattice structure, are good candidates to support orbitons. The Ti ions with their $3d^1$ configuration have one electron in one of the three nearly degenerate t_{2g} orbitals. Since these orbitals are directed away from the neighboring oxygen ions, the coupling to the lattice is expected to be small. Further, it has been shown^{4,5} that a superexchange-only model explains many of the ground-state properties of YTiO_3 . Also, there is experimental evidence that LaTiO_3 is a (superexchange-driven) orbital liquid.^{6–8} On the other hand, local crystal-field models also well reproduce some of the physical properties of the titanates.^{9–19} Both models have their shortcomings as well: a Jahn-Teller dominated description is not able to reproduce the spin-wave spectrum, which is nearly isotropic in both spin and real space while the superexchange model has difficulties explaining the experimen-

tally observed orbital polarization.^{16–19} Consequently, it still remains controversial which mechanism dominates the orbitals in titanates.²⁰ In order to resolve this controversy, it is of crucial importance to compare recent Raman and resonant inelastic x-ray scattering (RIXS) experiments on titanates^{21–23} to both of the competing theories.

The experimental observation of orbitons is a difficult task, as is underscored by the heavily debated Raman measurements on LaMnO_3 .^{24–26} Because the hallmark of collective excitations is dispersion, a much better technique to directly probe orbitons is RIXS. With its energy resolution drastically improved over the last few years, RIXS now offers a whole new way of accessing the elementary excitations of solids, complementary to for instance Raman and neutron scattering. In this paper we analyze recent Raman and RIXS spectra^{21–23} for YTiO_3 from the point of view of a superexchange-only model and the alternative extreme of a completely local, lattice distortion dominated model. We find that while the orbital-lattice model can be fine-tuned to capture some aspects of the observed spectra, the collective superexchange model yields a much better overall description of the Raman and RIXS data.

The paper is organized as follows: Sec. II compactly reviews previous work on YTiO_3 and introduces the superexchange formalism and the local crystal-field model. Sections III and IV deal with the theory of Raman scattering and RIXS, respectively, in both the superexchange and crystal-field models.

II. TWO MODELS OF YTiO_3

For the existence of collective excitations of orbitals, the so-called orbitons, it makes a difference whether the orbital order is driven by JT distortions or superexchange.^{1,2} For large JT distortions, the crystal-field splitting is large and a local picture applies: the collective nature of the orbital excitations characteristic of orbitons is lost. In materials where

the orbital-lattice coupling is small, the superexchange interactions between orbitals can dominate over crystal-field splittings due to lattice distortions. The Ti ions have a $3d^1$ configuration and the octahedral crystal field induces a splitting between the higher energy e_g and lower energy t_{2g} levels. Because the t_{2g} orbitals are not directed toward neighboring oxygen ions, they are not expected to couple strongly to lattice distortions.

Building on this assumption, one can derive a superexchange Hamiltonian starting from a Hubbard model. Below, we follow Refs. 4 and 5 closely. By symmetry, the hopping term connects, for instance, the zx to zx and yz to yz orbitals along the z direction (c axis) via the intermediate oxygen $2p_\pi$ states. xy orbitals are not coupled along this direction. In the limit of large on-site Coulomb repulsion U , this leads to a superexchange interaction that depends on the spatial direction of a bond and the resulting model is intrinsically frustrated: on any given ion, there is no orbital that minimizes the bond energy in all directions simultaneously.

Because YTiO_3 is ferromagnetic at low temperature²⁷ ($T_c \approx 30$ K), we restrict ourselves to the completely ferromagnetic part of the Hilbert space. Then one obtains the simple Hamiltonian

$$\hat{H}_0 = \frac{1}{2} J_{\text{orb}} \sum_{\langle i,j \rangle} \left(\hat{A}_{ij}^{(\gamma)} + \frac{n_{\gamma,i} + n_{\gamma,j}}{2} \right) \quad (1)$$

with the orbital exchange integral $J_{\text{orb}} = r_1 J_{SE}$, where $r_1 = 1/(1 - 3J_H/U) \approx 1.56$ parametrizing Hund's rule coupling and $J_{SE} = 4t^2/U$ is the superexchange constant derived from the Hubbard model. The operator $\hat{A}_{ij}^{(\gamma)}$ depends on the direction γ of the bond ij . For example, in the z direction we have

$$\hat{A}_{ij}^{(c)} = n_{a,i} n_{a,j} + n_{b,i} n_{b,j} + a_i^\dagger b_i b_j^\dagger a_j + b_i^\dagger a_i a_j^\dagger b_j. \quad (2)$$

The operators a^\dagger, b^\dagger , and c^\dagger create an electron in the yz , zx , and xy orbitals, respectively, and $n_a = a^\dagger a$. The Hamiltonian can also be written in terms of interacting effective angular momenta $l=1$, operating on the t_{2g} triplet. Because of the orbital frustration, these can form a myriad of different classical ground states. References 4 and 5 conclude that a four-sublattice quadrupole ordered state is favored in which the orbitals

$$|\psi_c\rangle = \frac{1}{\sqrt{3}} (|d_{yz}\rangle \pm |d_{zx}\rangle \pm |d_{xy}\rangle) \quad (3)$$

are condensed. The signs \pm alternate between the sublattices, such that nearest-neighbor orbitals are orthogonal, supporting ferromagnetic order. On top of this condensate, two species of orbitons can be created, loosely speaking by populating either one of the two orbitals orthogonal to ψ_c . The orbiton spectrum has $3N^{1/3}$ Goldstone modes (where N is the total number of Ti ions) because the number of orbitals of a specific “color” is conserved in the plane in which it is lying. However, in YTiO_3 the TiO_6 octahedra are tilted. Because of this, hopping between different t_{2g} orbitals is now no longer symmetry forbidden and the conservation of orbital color is violated, removing the Goldstone modes. When also some anharmonic terms of the Hamiltonian are taken into account on a mean-field level, the orbiton dispersion becomes⁵

$$\omega_{1/2,\mathbf{k}} = \sqrt{Z_e Z_f J_{\text{orb}}} \{1 - (1 - 2\varepsilon)(1 - 2f)(\gamma_{1,\mathbf{k}} \pm \kappa_{\mathbf{k}})^2 - 2(\varepsilon - f) \times (\gamma_{1,\mathbf{k}} \pm \kappa_{\mathbf{k}})\}^{1/2}, \quad (4)$$

where we use the signs $+$ and $-$ for $\omega_{1,\mathbf{k}}$ and $\omega_{2,\mathbf{k}}$, respectively. Further, $\sqrt{Z_e Z_f} \approx 1.96$, $f \approx 0.086$, $\varepsilon \approx 0.18$, $\gamma_{1,\mathbf{k}} = (c_x + c_y + c_z)/3$, and $\kappa_{\mathbf{k}} = \sqrt{\gamma_{2,\mathbf{k}}^2 + \gamma_{3,\mathbf{k}}^2}$ with $\gamma_{2,\mathbf{k}} = \sqrt{3}(c_y - c_x)/6$ and $\gamma_{3,\mathbf{k}} = (2c_z - c_x - c_y)/6$ with $c_\alpha = \cos k_\alpha$. Equation (4) describes the collective orbital modes that disperse up to energies of $2J_{\text{orb}}$ and have a gap of approximately J_{orb} .

In the second orbital model for YTiO_3 that we consider, lattice distortions dominate over superexchange interactions. Pavarini *et al.*^{10,11} did a dynamical mean-field theory plus local-density approximation calculation and found that lattice distortions of the GdFeO_3 -type lift the orbital degeneracy. They also obtained four sublattices. The resulting local eigenstates of the t_{2g} system are¹¹

$$|1\rangle = 0.781|yz\rangle - 0.073|zx\rangle + 0.620|xy\rangle, \quad (5)$$

$$|2\rangle = -0.571|yz\rangle + 0.319|zx\rangle + 0.757|xy\rangle, \quad (6)$$

$$|3\rangle = 0.253|yz\rangle + 0.945|zx\rangle - 0.207|xy\rangle \quad (7)$$

for sublattice 1, with corresponding orbital energies $\epsilon_1 = 289$ meV, $\epsilon_2 = 488$ meV, and $\epsilon_3 = 620$ meV. This yields excitation energies $\omega_1 = \epsilon_2 - \epsilon_1 = 199$ meV and $\omega_2 = \epsilon_3 - \epsilon_1 = 331$ meV. The orbital states on the other sublattices can be obtained from lattice symmetry considerations.¹¹ Superexchange processes are treated as a perturbation in this model, broadening the states generated by lattice distortions. This picture is also supported by other theoretical work.^{9,13,28,29}

It is possible to rotate the axes on each of the sublattices in such a way that in the new coordinates, the eigenstates are still given by Eqs. (5)–(7),

$$\text{subl.1: } (x, y, z) \mapsto (x, y, z), \quad (8)$$

$$\text{subl.2: } (x, y, z) \mapsto (y, x, z), \quad (9)$$

$$\text{subl.3: } (x, y, z) \mapsto (x, y, -z), \quad (10)$$

$$\text{subl.4: } (x, y, z) \mapsto (y, x, -z). \quad (11)$$

Correspondingly, the orbiton operators transform as follows:

$$\text{subl.1: } (a, b, c) \mapsto (a, b, c), \quad (12)$$

$$\text{subl.2: } (a, b, c) \mapsto (b, a, c), \quad (13)$$

$$\text{subl.3: } (a, b, c) \mapsto (-a, -b, c), \quad (14)$$

$$\text{subl.4: } (a, b, c) \mapsto (-b, -a, c). \quad (15)$$

III. RAMAN SCATTERING

In the search for orbitons, Raman scattering has been an important tool for experimentalists. After the controversial first observation of orbitons in LaMnO_3 ,^{24–26} the titanates

now seem to be a more promising candidate. In addition to the reasons mentioned in previous sections, recent Raman data by Ulrich *et al.*²¹ should be noted, which shows a striking temperature dependence: the spectral weight of the 235 meV peak in YTiO₃ increases dramatically when temperature is lowered. This can be naturally explained by collective orbitons: as temperature drops, the orbitons gain coherence and the spectral weight increases, analogous to two-magnon Raman scattering in the cuprates.³⁰ From the local *dd*-excitation point of view, temperature should not affect the intensity of local transitions between crystal-field levels. Also, Ulrich *et al.* found that the polarization dependence of the spectra is hard to reconcile with the local excitation picture a result that we will reproduce below. In optical data,³¹ a peak is seen at the same energy and was ascribed to orbital excitations.

Earlier theoretical work on Raman scattering in the titanates²⁹ built on the assumption that JT distortions determine the symmetry of the orbital order. In this paper, we investigate the Raman spectrum of YTiO₃ in both the lattice distortion and superexchange frameworks laid out in Sec. II. We start out with the Loudon-Fleury effective Raman-scattering operator^{32,33}

$$\hat{R} \propto \sum_{\langle i,j \rangle} (\boldsymbol{\epsilon}_i \cdot \boldsymbol{\delta}_{ij})(\boldsymbol{\epsilon}_f \cdot \boldsymbol{\delta}_{ij}) \left(\hat{A}_{ij}^{(\gamma)} + \frac{n_{\gamma,i} + n_{\gamma,j}}{2} \right), \quad (16)$$

where the usual spin-exchange Hamiltonian has been replaced by the orbital Hamiltonian of Eq. (1). $\boldsymbol{\epsilon}_{i,f}$ are the electric field vectors of the ingoing and outgoing light, $\boldsymbol{\delta}_{ij}$ connects nearest neighbors i and j . The physical picture is that the light induces an electric dipole transition to the intermediate state where a $3d t_{2g}$ electron ends up on a neighboring Ti ion, after which one of the electrons of this now doubly occupied site can hop back in another transition. In this process, the two involved electrons can end up in different orbitals, resulting in a two-orbital excitation, in full analogy with two-magnon Raman scattering in the cuprates. As the light forces the electrons to perform a superexchange process independently of the intrinsic coupling mechanism of the orbitals, this effective Raman operator holds for the lattice distortion model too.

With this scattering operator, we calculate the Raman spectrum for the superexchange model. Similar calculations have been done before in the context of Raman scattering on orbital excitations in vanadates.³⁴ Adopting the geometry used in the experiment of Ref. 21, we take the electric field vectors to be in the plane parallel to the [110] and [001] directions: $\boldsymbol{\epsilon}_{i(f)} \propto (\frac{1}{2}\sin\theta_{i(f)}, \frac{1}{2}\sin\theta_{i(f)}, \cos\theta_{i(f)})$, where $\theta_{i(f)}$ is the angle the electric field vector makes with the c axis. Throughout this paper we use a coordinate system in which the nearest-neighbor Ti-Ti bonds are parallel to the coordinate axes. Substituting into Eq. (16) and using that $\sum_i n_{\gamma,i}$ is a conserved quantity in the superexchange model and that $\hat{H}_0|0\rangle \propto |0\rangle$, we find for inelastic Raman scattering

$$\hat{R} \propto \left(\cos\theta_i \cos\theta_f - \frac{1}{2}\sin\theta_i \sin\theta_f \right) \sum_{\langle i,j \rangle_c} \hat{A}_{ij}^{(c)}, \quad (17)$$

where the sum is over bonds in the c direction only. Performing the transformations mentioned in Sec. II, condensing ψ_c and Fourier transforming, we obtain

$$\sum_{\langle i,j \rangle_c} \hat{A}^{(c)} = \frac{2}{3} \sum_{\mathbf{k}} \left[(a_{\mathbf{k}}^\dagger - b_{\mathbf{k}}^\dagger)(a_{\mathbf{k}} - b_{\mathbf{k}}) + \frac{c_z}{2}(a_{\mathbf{k}}^\dagger - b_{\mathbf{k}}^\dagger) \times (a_{-\mathbf{k}}^\dagger - b_{-\mathbf{k}}^\dagger) + \frac{c_z}{2}(a_{-\mathbf{k}} - b_{-\mathbf{k}})(a_{\mathbf{k}} - b_{\mathbf{k}}) \right], \quad (18)$$

where only quadratic terms in the operators are retained. Linear terms do not appear. Next, this result is Bogoliubov transformed according to

$$a_{\mathbf{k}} = u_{\mathbf{k}} \cosh \theta_{1,\mathbf{k}} \alpha_{1,\mathbf{k}} + v_{\mathbf{k}} \cosh \theta_{2,\mathbf{k}} \alpha_{2,\mathbf{k}} - u_{\mathbf{k}} \sinh \theta_{1,\mathbf{k}} \alpha_{1,-\mathbf{k}}^\dagger - v_{\mathbf{k}} \sinh \theta_{2,\mathbf{k}} \alpha_{2,-\mathbf{k}}^\dagger, \quad (19)$$

$$b_{\mathbf{k}} = -v_{\mathbf{k}} \cosh \theta_{1,\mathbf{k}} \alpha_{1,\mathbf{k}} + u_{\mathbf{k}} \cosh \theta_{2,\mathbf{k}} \alpha_{2,\mathbf{k}} + v_{\mathbf{k}} \sinh \theta_{1,\mathbf{k}} \alpha_{1,-\mathbf{k}}^\dagger - u_{\mathbf{k}} \sinh \theta_{2,\mathbf{k}} \alpha_{2,-\mathbf{k}}^\dagger, \quad (20)$$

where the indices 1 and 2 refer to the orbital branch. This transformation diagonalizes \hat{H}_0 up to quadratic order if

$$u_{\mathbf{k}} = \sqrt{\frac{1}{2} + \frac{\gamma_{2,\mathbf{k}}}{2\kappa_{\mathbf{k}}}}, \quad (21)$$

$$v_{\mathbf{k}} = \text{sign}(\gamma_{3,\mathbf{k}}) \sqrt{\frac{1}{2} - \frac{\gamma_{2,\mathbf{k}}}{2\kappa_{\mathbf{k}}}}, \quad (22)$$

$$\tanh 2\theta_{1(2),\mathbf{k}} = \gamma_{1,\mathbf{k}} \pm \kappa_{\mathbf{k}}. \quad (23)$$

The effective Raman-scattering operator now either produces two orbitons or scatters single orbitons already present in the initial state. At zero temperature, the initial state has no orbitons (in “linear orbital wave theory,” i.e., if we neglect orbiton-orbital interactions), so we keep only the two-orbital creation part of $\sum_{\langle i,j \rangle_c} \hat{A}^{(c)}$ in Eq. (18),

$$\frac{1}{3} \sum_{\mathbf{k}} [\{(u+v)^2(c_z \cosh 2\theta_1 - \sinh 2\theta_1)\} \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}}^\dagger + \{(u-v)^2(c_z \cosh 2\theta_2 - \sinh 2\theta_2)\} \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}}^\dagger + 2\{(u^2 - v^2)[\sinh(\theta_1 + \theta_2) - c_z \cosh(\theta_1 + \theta_2)]\} \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}}^\dagger], \quad (24)$$

where $c_z = \cos k_z$ and the index \mathbf{k} is implied on every u, v, θ_1 , and θ_2 .

The cross section at zero temperature now is

$$\frac{d^2\sigma}{d\omega d\Omega} \propto \sum_f |\langle f | \hat{R} | 0 \rangle|^2 \delta(\omega - \omega_f) \quad (25)$$

with f labeling the two-orbital final states with energy ω_f . The corresponding matrix elements are given by Eq. (24).

Because there are orbiton-orbital interaction terms in the Hamiltonian which are neglected in linear orbital wave theory, we introduce a phenomenological orbiton damping of $\gamma = 30$ meV. Also, broadening from other sources such as interaction with phonons and magnons can be mimicked this way.

The result is displayed in Fig. 1, compared to the data from Ref. 21. In the superexchange model, only two-orbital creation processes contribute to the Raman spectrum. The best fit is obtained for $J_{\text{orb}} = 65$ meV, close to the value es-

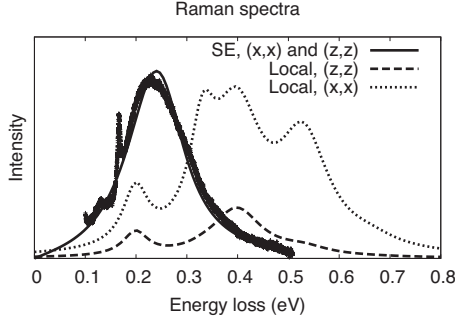


FIG. 1. Raman spectrum of YTiO_3 at $T=13$ K in (z,z) geometry, taken from Ref. 21. A background is subtracted from the data. The sharp peak around 170 meV in the data is the two-phonon Raman signal and is not considered in our theory. The thin-solid line is the superexchange theory curve. The anisotropy of the local model is reflected in its Raman spectra: (z,z) polarization (dashed line) gives a very different spectrum from (x,x) polarization (dotted line). In the superexchange model, the xx,yy , and zz polarizations are equivalent. It should be noted that the experimental Raman spectra are also of cubic symmetry (Ref. 21).

timated in Ref. 5 from magnon data of YTiO_3 .³⁵ Including orbiton-orbiton interactions will probably reduce the peak energy (in analogy to two-magnon Raman scattering), increasing the fit parameter J_{SE} .

The local model of YTiO_3 also yields Raman spectra via Eq. (16). In this model, the orbital order makes the c direction different from the a and b ones. Therefore, all bond directions are considered separately. For technical convenience, the rotations Eqs. (12)–(15) are first performed. Bonds in the c direction connect sublattice 1 to sublattice 3, and 2 to 4. Both these bonds give the same contribution to the Raman operator,

$$\sum_{\langle i,j \rangle_c} \left[\hat{A}_{ij}^{(c)} + \frac{1}{2}(n_{c,i} + n_{c,j}) \right] = \sum_{\langle i,j \rangle_c} \left[n_{a,i}n_{a,j} + n_{b,i}n_{b,j} + a_i^\dagger b_i b_j^\dagger a_j + b_i^\dagger a_i a_j^\dagger b_j + \frac{1}{2}(n_{a,i} + n_{b,j}) \right]. \quad (26)$$

Note that the expression is symmetric in i,j . Similarly, for the a and b directions, we obtain again the same contribution for both bonds with $i \in$ sublattice 1 and $j \in$ sublattice 2, and for bonds with $i \in 3$ and $j \in 4$,

$$\sum_{\langle i,j \rangle_a} \left[n_{b,i}n_{a,j} + n_{c,i}n_{c,j} + b_i^\dagger c_i c_j^\dagger a_j + c_i^\dagger b_i a_j^\dagger c_j + \frac{1}{2}(n_{a,i} + n_{b,j}) \right], \quad (27)$$

$$\sum_{\langle i,j \rangle_b} \left[n_{a,i}n_{b,j} + n_{c,i}n_{c,j} + a_i^\dagger c_i c_j^\dagger b_j + c_i^\dagger a_i b_j^\dagger c_j + \frac{1}{2}(n_{b,i} + n_{a,j}) \right]. \quad (28)$$

In general, these operators give rise to final states with one and two dd excitations. Using the local wave functions proposed in Ref. 28, final states with one dd excitation cannot be reached in (z,z) polarization configuration, in agreement

with the findings of Ref. 29. Because the wave functions Eqs. (5)–(7) of Pavarini *et al.* are close to these states, there is little single dd -excitation weight [in particular, in (z,z) polarization] and the spectrum is dominated by double dd excitations. In the numerical calculations of the Raman spectra, the same broadening of $\gamma=30$ meV as above is included.

The resulting Raman spectra are shown in Fig. 1, together with the experimental data. The experimental data peak around 230 meV in the (z,z) polarization configuration shown here. In the experiment, other configurations give very similar line shapes, with the maximum shifting around no more than ~ 40 meV. The intensity is strongest when both ingoing and outgoing polarizations are directed along one of the cubic axes,²¹ i.e., in the zz,xx,yy polarization geometries.

Even though we have included possible orbiton-orbiton interactions only as a phenomenological damping, the superexchange model gives a very good fit to the experimental line shape: it reproduces a single peak without internal structure at approximately the right energy. The cubic isotropy of the superexchange model is in agreement with experiment, as noted in Ref. 21.

An interpretation of the Raman spectrum in terms of local crystal-field excitations is problematic. Not only is the predicted strong polarization dependence of the intensity (a stark contrast between the c axis and the a,b axes) opposite of what is seen in experiment (which obeys cubic symmetry²¹), the suppression of the single dd excitations with respect to double excitations leads to a wrong prediction of the peak energy. We tried to include corrections to the Raman operator from nondiagonal hoppings between t_{2g} orbitals but this did not improve the fit. Also, to blur the multiple peaks together into one peak, a large broadening is needed. Finally, the temperature dependence of the peak as observed in Ref. 21 is difficult to explain in the context of local dd excitations.

IV. RIXS

The rapidly developing technique of RIXS is an excellent probe of collective excitations in transition-metal compounds.^{36–40} The reason for this is that the x rays carry enough momentum to map out the entire or at least a significant part of the Brillouin zone, depending on the energy of the resonant edge used. Due to the recent advances in energy resolution, it is now possible to access energies as low as ~ 50 meV. This makes it in principle feasible to observe orbitons.

In RIXS, the incoming x rays excite a core electron into or above the valence band. Because it is a resonant technique, RIXS is element specific. Not only does this add more control to the experiments, it also helps with interpreting the RIXS spectra. In our case we consider the Ti $2p$ to $3d$ transition. This excitation can in principle affect the valence electrons in two ways: first through the core hole-excited electron pair's potential (from hereon referred to simply as core hole potential) and second, if the electron is excited into the valence band, by the Pauli exclusion principle. Because of these interactions with the valence electrons, the core hole

can lose energy and momentum to the valence electrons. The core hole can affect the valence electrons on the core hole site itself but it can also frustrate the bonds of this site with its neighbors. The intermediate state is short-lived and when the photoexcited electron annihilates the core hole, the energy and momentum of the resulting x-ray photon are measured. From this measurement, it can be deduced what the energy and momentum are of the created excitations in the solid.

In the experiment²³ we analyze, the L_3 edge is used, where the $2p$ core electron is promoted from the spin-orbit split $j=3/2$ state to a $3d$ state. The intermediate states have a complicated multiplet structure, with large spin-orbit coupling in the core levels, strong intra-ionic Coulomb interactions altered by the core potential, etc., which makes the RIXS process hard to analyze microscopically in an exact way. Fortunately, it is possible to disentangle the problem of the intermediate states from the low-energy orbital transitions in the final states. Namely, since the intermediate states dynamics is much faster than that of orbital fluctuations, one can construct—based on pure symmetry grounds—a general RIXS operator describing orbital transitions between the initial and final states. In this operator, the problem of the intermediate states can be cast in the form of phenomenological matrix elements that depend only on the energy of the incident photon and its polarization factors. These matrix elements can then be calculated independently, e.g., by means of well-developed quantum-chemistry methods on small clusters. This approach is general but can be simplified in the (physically relevant) case where the energy dependence of matrix elements is smooth: they can then be regarded as effective constants at energy scales corresponding to the low-frequency orbital dynamics.

RIXS spectra are described by the Kramers-Heisenberg formula, which can be written in terms of an effective scattering operator $\hat{O}_{\mathbf{q}}$,

$$A_{fi} = \langle f | \hat{D} \frac{1}{E_i - H - i\Gamma} \hat{D} | i \rangle = \langle f | \hat{O}_{\mathbf{q}} | i \rangle, \quad (29)$$

where E_i is the incoming photon's energy, H is the Hamiltonian, and \hat{D} the dipole transition operator. Γ is the lifetime broadening of the intermediate states. The cross section is obtained via

$$\frac{d^2\sigma}{d\omega d\Omega} \propto \sum_f |A_{fi}|^2 \delta(\omega - \omega_{fi}). \quad (30)$$

Here ω_{fi} is the energy difference between the final and initial states of the solid. The cross section can also be written in terms of the Green's function for the effective scattering operator,

$$\frac{d^2\sigma}{d\omega d\Omega} \propto \sum_f |\langle f | \hat{O}_{\mathbf{q}} | i \rangle|^2 \delta(\omega - \omega_{fi}) = -\frac{1}{\pi} \Im \{ G(\omega) \} \quad (31)$$

with

$$G(\omega) = -i \int_0^\infty dt e^{i\omega t} \langle i | \hat{O}_{\mathbf{q}}^\dagger(t) \hat{O}_{\mathbf{q}}(0) | i \rangle. \quad (32)$$

The effective scattering operator can in general be expanded in the number of sites involved in the scattering process,

$$\hat{O}_{\mathbf{q}} = \sum_i e^{i\mathbf{q} \cdot \mathbf{R}_i} (\hat{O}_i + \hat{O}_{ij} + \dots), \quad (33)$$

where \mathbf{q} is the transferred momentum. The phase factor comes from the dipole operators. We neglect RIXS processes that create excitations on more than two sites in the final state and further assume that the two-site processes are dominated by processes on nearest neighbors.

One may distinguish two regimes for RIXS processes: in the first regime Γ is much larger than the relevant energy scales of the intermediate states and these processes can be easily analyzed with the ultrashort core hole lifetime expansion.^{41,42} In the other regime Γ is small and its inverse is irrelevant as a cutoff time of the intermediate-state dynamics. The lifetime broadening at the transition-metal L edges is relatively small and the effects of the core hole on the valence electrons is averaged over many precessions of the core hole due to the large spin-orbit coupling in the core levels of transition-metal ions. Therefore the A_{1g} component of the Coulomb potential of the core hole dominates the scattering processes. In the following, we assume that the titanates belong to the regime of small Γ and that the internal dynamics of intermediate states is the fastest process in the problem.

Returning to the scattering operator, Eq. (33), we are left with two interesting cases. The single-site operator is dominated by the A_{1g} component but this only gives contributions to the Bragg peaks. The subleading order therefore consists of single-site processes \hat{O}_i of other than A_{1g} symmetries and of two-site processes \hat{O}_{ij} of A_{1g} symmetry.

The single-site coupling of RIXS to the orbitals can be dubbed a “shakeup” process. If we allow the core hole potential to have a symmetry other than A_{1g} , it can locally induce an orbital flip. If the orbital ground state is dominated by superexchange many-body interactions, a local flipped orbital will strongly interact with the neighboring sites and thus becomes a superposition of extended (multi)orbitons. In the limit of strong crystal-field splittings, however, this excitation remains a localized, on-site transition between t_{2g} levels.

Two-site processes \hat{O}_{ij} may involve modulation of the superexchange bonds, analogous to two-magnon RIXS, where the superexchange constant J is effectively modified at the core hole site.^{36,38–40} The core hole potential locally changes the Hubbard U , which in effect changes $J_{SE} = 4t^2/U$ on the Ti-Ti bonds coupled to the core hole site. Alternatively, the two-site processes can describe the lattice-mediated interaction that is altered by the presence of a core hole. The equilibrium positions and vibration frequencies of the oxygen atoms surrounding the core hole site may change, affecting the intersite interactions. As said above, the A_{1g} component of the core hole potential is most relevant in the two-site coupling channel \hat{O}_{ij} .

This section is divided into three sections. Section IV A deals with the single-site shakeup mechanism and contains the evaluation in the superexchange model. The next Sec.

IV B is devoted to the calculation of the same processes in the local model of the orbital excitations in YTiO_3 . The final Sec. IV C covers two-site processes, evaluated within the superexchange model. A detailed comparison is made of the RIXS spectra arising from the different models.

A. Single-site processes—Superexchange model

We start out with an analysis of the single-site processes. RIXS processes that involve orbital excitations on a single site are dominated by direct transitions between the t_{2g} orbitals when the core hole potential is not of A_{1g} symmetry. In a superexchange dominated system, a local flipped orbital strongly interacts with the neighboring sites and becomes a superposition of extended orbitons.

We start from the Kramers-Heisenberg equation

$$\hat{O}_i = \hat{D} \frac{1}{E_i - \hat{H} - i\Gamma} \hat{D}. \quad (34)$$

We insert the polarization-dependent dipole operator \hat{D} which we take to be local: $\hat{D} = \sum_i \hat{D}_i$ with

$$\begin{aligned} \hat{D}_i = & \sum_{d,m} (e^{-i\mathbf{q}_{\text{in}} \cdot \mathbf{R}_i} |m\rangle \langle m| \hat{\mathbf{r}} \cdot \boldsymbol{\epsilon} |d\rangle \langle d| + e^{i\mathbf{q}_{\text{out}} \cdot \mathbf{R}_i} |d\rangle \langle d| \hat{\mathbf{r}} \cdot \boldsymbol{\epsilon}' |m\rangle \langle m|) \\ & + \text{H.c.}, \end{aligned} \quad (35)$$

where $\boldsymbol{\epsilon}$ and $\boldsymbol{\epsilon}'$ are the ingoing and outgoing polarization vectors, respectively, $|d\rangle$ denotes the state of atom i when it is not photoexcited and $|m\rangle$ denotes the system's intermediate eigenstates,

$$\hat{H} = \sum_m E_m |m\rangle \langle m|. \quad (36)$$

Now we consider only the single-site part of the effective scattering operator in Eq. (33),

$$\hat{O}_i = \sum_{d,d',m} |d'\rangle \langle d'| \hat{\mathbf{r}}' \cdot \boldsymbol{\epsilon}' |m\rangle \frac{1}{E_i - E_m - i\Gamma} \langle m| \boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} |d\rangle \langle d|. \quad (37)$$

Next we decompose the operator part into terms transforming according to the rows of the irreducible representations of the octahedral group (labeled by Γ , not to be confused with the core hole lifetime broadening),

$$|d'\rangle \langle d| = \sum_{\Gamma} \Gamma_{d'd} \hat{\Gamma}. \quad (38)$$

In the second-quantized picture, we need only terms that are quadratic in the creation and annihilation operators. With the irreducible representations A_{1g}, T_{1u}, E_g , and T_{2g} all possible $|d'\rangle \langle d|$ can be constructed. Therefore $\hat{\Gamma}$ assumes only the following forms:

$$A_{1g}: \hat{\Gamma} = 1, \quad (39)$$

$$T_{1u}: \hat{\Gamma} \in \{\hat{l}_x, \hat{l}_y, \hat{l}_z\}, \quad (40)$$

$$E_g: \hat{\Gamma} \in \{\hat{Q}_x, \hat{Q}_z\}, \quad (41)$$

$$T_{2g}: \hat{\Gamma} \in \{\hat{T}_x, \hat{T}_y, \hat{T}_z\}. \quad (42)$$

The operators $\hat{\Gamma}$ and the corresponding 3×3 matrices $\Gamma_{d'd}$ are defined in Appendix A. Because A_{1g} only contributes to elastic scattering, we drop it from hereon.

Further, we also decompose the dipole matrix elements into

$$\langle d' | \hat{\beta} | m \rangle \langle m | \hat{\alpha} | d \rangle = \sum_{\Gamma} \Gamma_{\beta\alpha} M_{d'd}^{\Gamma} \quad (43)$$

with $\hat{\alpha}, \hat{\beta} \in \{\hat{x}, \hat{y}, \hat{z}\}$ and the $M_{d'd}^{\Gamma}$ listed in Appendix B: Eqs. (B1)–(B9). Plugging Eqs. (38) and (43) into Eq. (37), we obtain

$$\hat{O}_i = \sum_{d,d',m} \sum_{\Gamma'} \sum_{\alpha,\beta} \frac{\epsilon'_{\beta} \epsilon_{\alpha} \Gamma'_{\beta\alpha} M_{d'd}^{\Gamma'}}{E_i - E_m - i\Gamma} \sum_{\Gamma} \Gamma_{d'd} \hat{\Gamma}_i, \quad (44)$$

which can be simplified using

$$\sum_{d,d'} M_{d'd}^{\Gamma'} \Gamma_{d'd} = \delta_{\Gamma,\Gamma'} \sum_{d,d'} M_{d'd}^{\Gamma} \Gamma_{d'd}. \quad (45)$$

This identity can be proven by interpreting M^{Γ} and Γ as matrices indexed by d and d' . Then it can be seen that $M^{\Gamma} \propto \Gamma$. We thus obtain

$$\sum_{d,d'} M_{d'd}^{\Gamma'} \Gamma_{d'd} = \text{Tr}(M^{\Gamma'} \Gamma^T) \propto \text{Tr}(\Gamma' \Gamma^T), \quad (46)$$

which is zero for $\Gamma \neq \Gamma'$, proving the above identity. We find then

$$\hat{O}_i = \sum_{\Gamma} P_{\Gamma} \mathcal{M}_{\Gamma} \hat{\Gamma}_i \quad (47)$$

with a polarization factor

$$P_{\Gamma} = \sum_{\alpha,\beta} \epsilon'_{\beta} \Gamma_{\beta\alpha} \epsilon_{\alpha} \quad (48)$$

and the matrix elements \mathcal{M}_{Γ} depending on the multiplet effects in the intermediate state

$$\mathcal{M}_{\Gamma} = \sum_{d,d',m} \frac{M_{d'd}^{\Gamma} \Gamma_{d'd}}{E_i - E_m - i\Gamma}. \quad (49)$$

One can perform the sum over m , which yields

$$\sum_m \frac{M_{d'd}^{Q_x}}{E_i - E_m - i\Gamma} = \langle d' | \left(\hat{y} \frac{1}{E_i - H - i\Gamma} \hat{y} - \hat{x} \frac{1}{E_i - H - i\Gamma} \hat{x} \right) | d \rangle \quad (50)$$

and similar expressions for the other representations. As discussed above, we will assume that the intermediate-state dynamics is much faster than that of t_{2g} orbitals we are interested in and thus regard the matrix elements as phenomenological constants. Further, using that \mathcal{M}_{Γ} does not depend on any coordinate and therefore must be invariant under the octahedral group, we obtain

$$\mathcal{M}_Q \equiv \mathcal{M}_{Q_x} = \mathcal{M}_{Q_z}, \quad (51)$$

$$\mathcal{M}_T \equiv \mathcal{M}_{T_x} = \mathcal{M}_{T_y} = \mathcal{M}_{T_z}, \quad (52)$$

$$\mathcal{M}_l \equiv \mathcal{M}_{l_x} = \mathcal{M}_{l_y} = \mathcal{M}_{l_z}. \quad (53)$$

The \mathcal{M}_Γ are hard to calculate explicitly since they involve inverting H , which contains the multiplet structure. In the following, we assume $\mathcal{M}_\Gamma = \mathcal{M}$ for all Γ . This is a reasonable assumption: the core hole generates a multitude of many-body states that evolves very rapidly due to the large spin-orbit coupling and intra-ionic Coulomb interactions, and therefore its potential is averaged. Any particular symmetry is washed away; all become equal, except for the A_{1g} component, which is enhanced at the cost of the others. This is also the reason why the experiments at the t_{2g} and e_g edges are similar:²³ the different edges create different multiplet structures initially but these differences are averaged out by the intermediate-state dynamics, as far as we are concerned with t_{2g} orbital transitions at relatively low energies 0.2–0.3 eV.

Note that P_Γ and \mathcal{M}_Γ are independent of the site i . Only $\hat{\Gamma}$ depends on i , giving

$$\hat{O}_q = \sum_\Gamma P_\Gamma \mathcal{M}_\Gamma \sum_i e^{iq \cdot \mathbf{R}_i} \hat{\Gamma}_i. \quad (54)$$

Most interference terms between different Γ 's are zero. This comes about because of the specific ground-state ordering. Transforming to the local axes [Eq. (15) in Ref. 5], the ground state and \hat{H}_0 are invariant under translations while the operators $\hat{T}_{\alpha,i}$ and $\hat{l}_{\alpha,i}$ (with $\alpha \in \{x, y, z\}$) acquire a phase upon translation to a different sublattice, which is equivalent to a momentum shift (by orbital ordering vectors) for the corresponding $\hat{\Gamma}_q$. Therefore, many interference terms are zero, which can be seen from Eqs. (31) and (32): two operators with different momenta cannot bring the ground state (zero momentum) back to itself. The only nonvanishing interference terms are $\langle 0 | \hat{Q}_{x,q}^\dagger(t) \hat{Q}_{z,q}(0) | 0 \rangle$ which do not acquire momentum shifts and $\langle 0 | \hat{T}_{\alpha,q}^\dagger(t) \hat{l}_{\alpha,q}(0) | 0 \rangle$ where the momentum shifts cancel.

To compare with experiment, we calculate the polarization factors P_Γ for the experimental setup of Ref. 23, where \mathbf{q} is along the $[001]$ direction. Only the incoming polarization is fixed, the outgoing polarization is not detected and should be averaged over. We have

$$\boldsymbol{\epsilon} = \left(\frac{1}{\sqrt{2}} \sin \theta, \frac{1}{\sqrt{2}} \sin \theta, \cos \theta \right), \quad (55)$$

$$\boldsymbol{\epsilon}'_H = \left(-\frac{1}{\sqrt{2}} \sin \theta, -\frac{1}{\sqrt{2}} \sin \theta, \cos \theta \right), \quad (56)$$

$$\boldsymbol{\epsilon}'_V = \left(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0 \right) \quad (57)$$

with 2θ the scattering angle. Then, we find for the horizontal outgoing polarization $\boldsymbol{\epsilon}'_H$ (i.e., the electric field vector is in the scattering plane),

$$P_{Q_x,H} = P_{T_x,H} = P_{T_y,H} = P_{l_z,H} = 0, \quad (58)$$

$$P_{A_{1g},H} = \frac{1}{3} \cos 2\theta, \quad (59)$$

$$P_{Q_z,H} = \frac{1}{2\sqrt{3}} (1 + \cos^2 \theta), \quad (60)$$

$$P_{T_z,H} = \frac{1}{2} \sin^2 \theta, \quad (61)$$

$$P_{l_x,H} = -P_{l_y,H} = -\frac{i}{\sqrt{2}} \sin \theta \cos \theta \quad (62)$$

and for vertical outgoing polarization $\boldsymbol{\epsilon}'_V$ (electric field vector perpendicular to the scattering plane),

$$P_{A_{1g},V} = P_{Q_z,V} = P_{T_z,V} = 0, \quad (63)$$

$$P_{Q_x,V} = -\frac{1}{2} \sin \theta, \quad (64)$$

$$P_{T_x,V} = -P_{T_y,V} = \frac{1}{2\sqrt{2}} \cos \theta, \quad (65)$$

$$P_{l_x,V} = P_{l_y,V} = -\frac{i}{2\sqrt{2}} \cos \theta, \quad (66)$$

$$P_{l_z,V} = \frac{i}{2} \sin \theta. \quad (67)$$

For horizontal polarization, the polarization factors make all remaining interference terms zero.

In Appendix C, the one- and two-orbital parts of the $\hat{\Gamma}_q$ are listed. They are obtained by performing the transformations on the orbital operators mentioned in Ref. 5. Then, the ψ_c orbital (with corresponding annihilation operator \tilde{c}) is condensed,

$$n_{\tilde{c}} = |c_0|^2 + \delta n_{\tilde{c}}, \quad (68)$$

where $\delta n_{\tilde{c}}$ is the fluctuating part. In the completely ordered state, $\langle \delta n_{\tilde{c}} \rangle = \langle n_{\tilde{a}} \rangle = \langle n_{\tilde{b}} \rangle = 0$ and $|c_0|^2 = 1$ while in the completely disordered state $\langle \delta n_{\tilde{c}} \rangle = \langle n_{\tilde{a}} \rangle = \langle n_{\tilde{b}} \rangle = 1/3$ and $|c_0|^2 = 0$. Reference 5 obtains a finite value for the quadrupole orbital order parameter,

$$\hat{Q} = n_{\tilde{c}} - (n_{\tilde{a}} + n_{\tilde{b}})/2 \equiv \langle \hat{Q} \rangle + \delta \hat{Q} \approx 0.19 + \delta \hat{Q} \quad (69)$$

with the fluctuating part averaging to zero. This fixes $|c_0|^2 \approx 0.19$. Taking the square root of Eq. (68), one arrives at

$$\tilde{c} = \tilde{c}^\dagger = \sqrt{|c_0|^2 + \delta n_{\tilde{c}}} \approx |c_0| + \frac{1}{2|c_0|} \delta n_{\tilde{c}} \quad (70)$$

to first order in the fluctuations $\delta n_{\tilde{c}}$.

In the process of writing the $\hat{\Gamma}_q$ in terms of orbital operators, unphysical contributions to the intensity may appear as

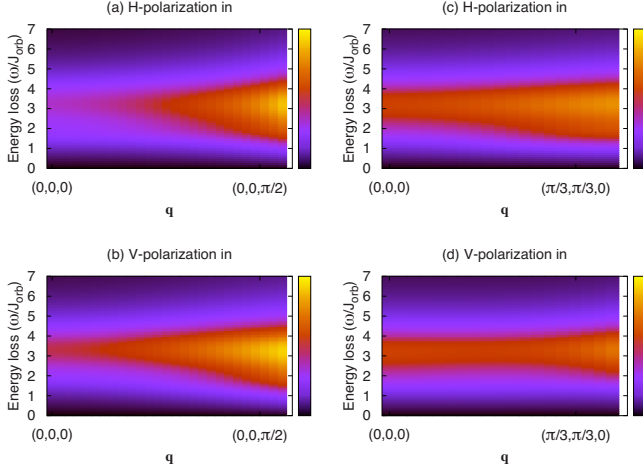


FIG. 2. (Color online) RIXS spectra for a model of superexchange-driven orbital order with RIXS coupling to orbitons only via the single-site mechanism. Spectra (a) and (b) are for \mathbf{q} directed along the [001] direction, and (c) and (d) for \mathbf{q} along the [110] direction. Spectra (a) and (c) are for horizontal incoming polarization (electric field in the scattering plane) and (b) and (d) are for vertical incoming polarization (electric field perpendicular to the scattering plane). Note that the $\mathbf{q}=0$ points are different in each spectrum because of the different experimental geometries, leading to different P_{Γ} . We only plotted the experimentally accessible part of the Brillouin zone.

a result of neglecting cubic and higher order terms in the orbiton operators. When restoring all terms, these unphysical contributions should cancel by symmetry. For \mathbf{q} along the [001] direction, for instance, $[\hat{H}, \hat{Q}_{z,\mathbf{q}}]=0$ if we use the untransformed forms Eqs. (1) and (A5), and it is clear that there should only be an elastic contribution to the intensity. However, in terms of orbitons, this selection rule is violated if we go only up to quadratic orbiton terms. To make sure these unphysical contributions are dropped, we first calculate the commutator in the untransformed picture. If this yields zero, the commuting part of the scattering operator is dropped. Applying this procedure to the case where \mathbf{q} is along the [001] direction, we find that only $\hat{Q}_{z,\mathbf{q}}$ among the operators [Eqs. (40)–(42)] is zero while all the other channels give finite contributions.

Since we did not include explicitly the orbiton-orbiton interactions, damping of the orbitons should still be taken care of, at least on a phenomenological level. As in the case of Raman-scattering calculations, we introduce by hand an energy broadening γ of the orbiton states (half width at half maximum, HWHM) of $\gamma=0.4J_{\text{orb}}$. This broadening can also be used to take orbiton damping by phonons, magnons, etc., into account. In addition to this, there is an experimental broadening added of 27.5 meV (HWHM).²³

The resulting spectra are shown in Fig. 2. The intensity is strongly momentum dependent (especially for \mathbf{q} along the z direction), which is also seen in the experiments.²³ This dependence is mainly due to the coherent response of the exchange-coupled orbitals which enhances at large momenta, reflecting staggered orbital order in the ground state—Eq. (3). In Fig. 3, the theoretical cross section (with \mathbf{q} along the

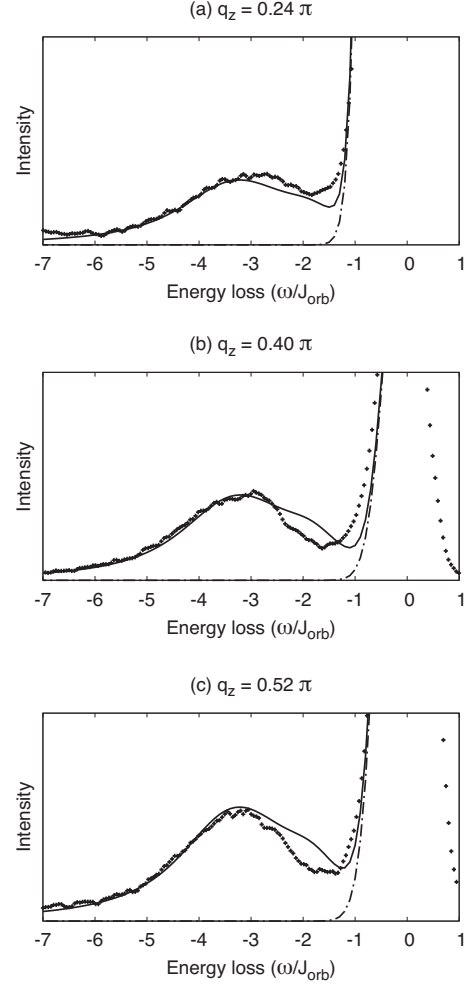


FIG. 3. RIXS spectra for a superexchange-driven orbital order with RIXS coupling to orbitons only via the single-site mechanism (solid line), compared to the experimental data (Ref. 23). The vector \mathbf{q} is directed along the [001] direction, with q_z as indicated in the figures. We took $J_{\text{orb}}=80$ meV and introduced a phenomenological HWHM broadening of $\gamma=0.4J_{\text{orb}}\approx 30$ meV for the orbitons as well as the HWHM experimental resolution of 27.5 meV. The elastic peak is fitted with a Gaussian (dashed-dotted line).

z direction and horizontal incoming polarization, i.e., the electric field is in the scattering plane) is compared to the experimental data. The main features of the data²³ are reproduced: the spectral weight increases with increasing q_z and there is virtually no dispersion of the maximum of the theoretical curve (because it is determined by the two-orbiton continuum, containing an integration over the Brillouin zone).

Especially in plots (b) and (c), the one-orbiton shoulder seems a bit too large. We note here again that the weight of this shoulder is controlled by the orbital order parameter: if the orbital order melts, $|c_0|^2$ decreases and the one-orbiton peak becomes less intense. The value we used ($|c_0|^2=0.19$) is obtained at zero temperature, assuming that YTiO_3 is a fully saturated ferromagnet.^{4,5} Under realistic conditions, $|c_0|^2$ is expected to be smaller than 0.19. Indeed, the saturated ordered moment in YTiO_3 is actually $0.84\mu_B$, which is reduced further to approximately $0.80\mu_B$ at $T=15$ K.^{27,43} Corre-

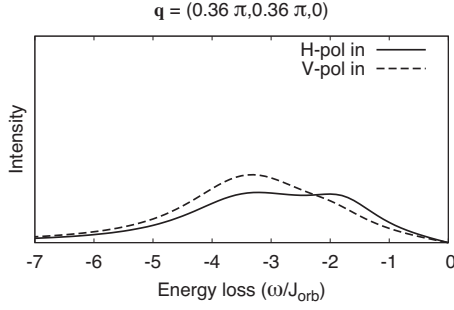


FIG. 4. Spectra, obtained with the single-site mechanism, for the largest experimentally accessible momentum transfer directed along the $[110]$ direction. The solid line indicates the case where the incoming polarization is horizontal and the dashed line is for vertical incoming polarization. The elastic peak has been removed. In the horizontally polarized case, the single orbiton peak is quite strong and should be visible in experiments if the system is superexchange driven and the RIXS signal is dominated by the single-site mechanism.

spondingly, the orbital order is decreased by joint spin-orbital quantum fluctuations, suppressing the one-orbiton peak.

The best chance to see a one-orbiton contribution to the spectrum is with momentum transfer directed maximally in the $[110]$ direction. Figure 4 shows the prediction for the shakeup mechanism with $|c_0|^2=0.19$: the one-orbiton peak is about as strong as the two-orbiton peak.

B. Single-site processes—Local model

Although the response functions of the local model of YTiO_3 are entirely different from the superexchange model, the phenomenological scattering operator, Eq. (33), is still valid. Focusing on single-site processes, Eq. (54) can be evaluated using the wave functions found by Pavarini *et al.*, Eqs. (5)–(7). Since the eigenstates of the local model have a very simple form, we can straightforwardly use Eqs. (A1)–(A8) to evaluate the RIXS spectrum. The P_Γ and \mathcal{M}_Γ remain the same as in the collective orbiton case. The spectrum now consists of two sharp peaks at ω_1 and ω_2 . These peaks can be broadened by coupling to the lattice as well as due to the superexchange coupling.

Because there are four sublattices which all support their own, local eigenstates, the RIXS intensity can be decomposed into four signals. From the expressions, Eqs. (12)–(15), it is easily derived how the $\hat{\Gamma}_i$ transform.

So far, the analysis is similar to Sec. IV A. However, in the local model, the eigenstates are local and this changes the analysis of Sec. IV A at two important points. The first one is that the momentum shifts of $\hat{\Gamma}_\mathbf{q}$ do not destroy interference terms: any final state $|f\rangle$ can be reached with any shift of \mathbf{q} . All interference terms can in principle be present. The second point to be noted is that, because the eigenstates are local, the only momentum dependence of the cross section comes in through the experimental geometry, which is reflected in the polarization factors P_Γ .

When we compare the theoretical RIXS spectrum of this model to experiment, we again have to take into account the

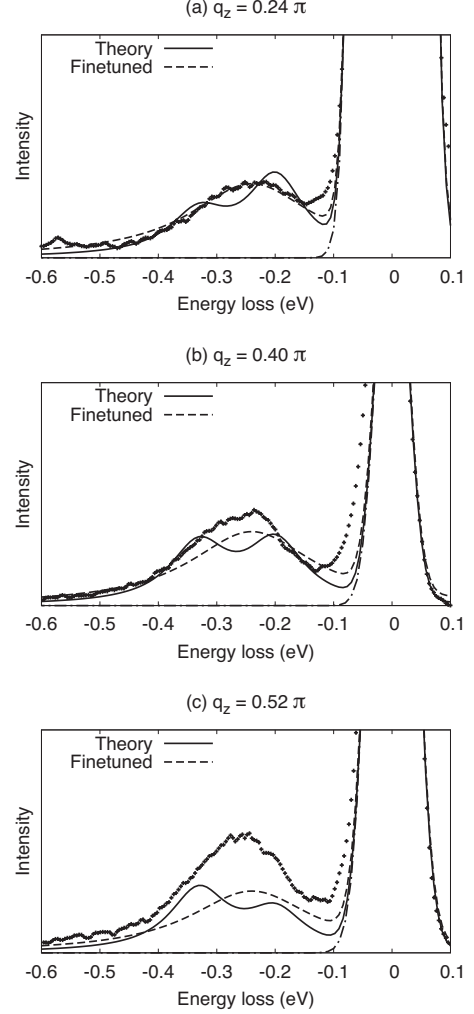


FIG. 5. RIXS spectra for the local model (solid and dashed lines) compared to experimental data (Ref. 23). The vector \mathbf{q} is directed along the $[001]$ direction, where q_z is indicated in the figures. The dashed curve shows the artificially optimized model with degenerate crystal-field levels. We introduced a phenomenological intrinsic HWHM broadening ($\gamma \approx 30$ meV [solid line] and $\gamma = 100$ meV [dashed line]) for the final states and added experimental broadening.

average over the two outgoing polarizations. Assuming again \mathcal{M}_Γ are the same for all Γ and introducing the same broadening as before (HWHM $\gamma \approx 30$ meV phenomenological intrinsic broadening plus 27.5 meV HWHM experimental broadening), we obtain the spectra shown by the solid lines in Fig. 5.

It is evident that the local model yields a RIXS spectrum that does not agree well with experiment. First, there is no two-peak structure visible in the data. The presence of a two-peak structure in the theoretical curves does not depend on the assumption that all the \mathcal{M}_Γ are equal. We may finetune the model to produce a better fit by changing the energy levels found in Ref. 11 so that both crystal-field transitions have an energy of 240 meV and introducing a very large intrinsic broadening of 100 meV (see the dashed lines in Fig. 5). But even in the artificially optimized case of degenerate levels to produce a single peak, the intensity trend remains in

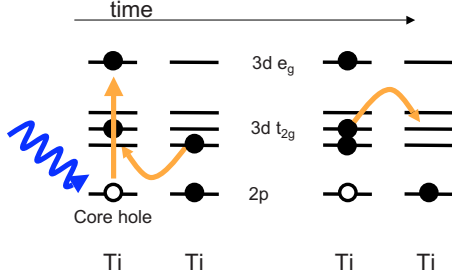


FIG. 6. (Color online) In the superexchange model, two-site RIXS processes locally modify the superexchange interaction, coupling the RIXS core hole to the t_{2g} orbitals. Shown is an orbital superexchange process between two neighboring Ti ions in the presence of a core hole. On the left, one of the ions is excited by an incoming x-ray photon. After that, the t_{2g} electrons undergo a superexchange process. On the right, the virtual state of the superexchange process is depicted. The presence of the core hole frustrates the superexchange process. Instead of the usual Hubbard U , the energy of the virtual state is lowered by the presence of the positively charged core hole. This modifies the superexchange constant $J_{SE}=4t^2/U$ at the core hole site.

contradiction with experiment. Further, it is impossible to tune the energy levels to optimize simultaneously the RIXS and Raman data. Both experiments show a peak at the same energy while the local model theory predicts the Raman spectra (with its double crystal-field excitations) to peak at approximately double the RIXS peak energy.

Even though we could improve the line shape by increasing γ , the intensity gain with increasing q_z cannot be reproduced in any way. In fact, the trend is the opposite: as q_z increases, the spectral weight of the theoretical spectrum decreases (see Fig. 5). We recall that the \mathbf{q} dependence in this case is merely due to polarization factors Eqs. (58)–(67), since in a local picture, each Ti ion contributes independently to the cross section. This is in sharp contrast with the superexchange picture, where the intensity has an intrinsic \mathbf{q} dependence because of the collective response of all the Ti ions.

C. Two-site processes

The second term in the expansion of the effective scattering operator, Eq. (33), involves two-site processes. Due to the strong multiplet effects, the core hole potential is averaged out and becomes mainly of A_{1g} symmetry. While such a potential cannot directly flip the orbitals at the core hole site, it does affect multisite processes. In the case of the superexchange model, the core hole potential effectively changes the superexchange constant J_{SE} locally as discussed earlier in the context of RIXS on magnons.^{36,38,40} This process is illustrated in Fig. 6. (In principle, it is also possible that the core hole potential modifies the orbital interactions via the lattice vibrations.) In this section, we consider the superexchange modulation mechanism to illustrate two-site process in RIXS on orbital fluctuations.

The superexchange modification can be derived explicitly by starting from a Hubbard model

$$\begin{aligned} \tilde{H} = & -t \sum_i (b_{i\pm\hat{x}}^\dagger b_i + c_{i\pm\hat{x}}^\dagger c_i + a_{i\pm\hat{y}}^\dagger a_i + c_{i\pm\hat{y}}^\dagger c_i + a_{i\pm\hat{z}}^\dagger a_i + b_{i\pm\hat{z}}^\dagger b_i) \\ & + U \sum_i (n_{b,i} n_{c,i} + n_{a,i} n_{c,i} + n_{a,i} n_{b,i}) - U_c \sum_i p_i p_i^\dagger (n_{a,i} + n_{b,i} \\ & + n_{c,i} - 1), \end{aligned} \quad (71)$$

where the last term includes the Coulomb energy U_c of the core hole attracting the t_{2g} electrons. p_i is the annihilation operator for $2p$ core electrons at site i . We have taken the core hole potential to be of A_{1g} symmetry. Doing perturbation theory to second order in $t/U_{(c)}$ (U and U_c are about the same order of magnitude), we obtain the superexchange Hamiltonian

$$H = H_0 + \sum_{i,\delta} p_i p_i^\dagger (J_2 \hat{A}_{i,i+\delta}^{(\gamma)} - J_1 \hat{n}_{i+\delta}^{(\gamma)} + \text{const}) \quad (72)$$

with δ pointing to nearest neighbors, $n_i^{(c)} = n_{a,i} + n_{b,i}$ (the other $n^{(\gamma)}$ can be obtained by permuting the indices a, b, c) and

$$J_1 = \frac{t^2}{U - U_c} - \frac{t^2}{U}, \quad (73)$$

$$J_2 = \frac{t^2}{U + U_c} + \frac{t^2}{U - U_c} - \frac{2t^2}{U} \quad (74)$$

so that $J_1/J_2 = (1 + U/U_c)/2$. Equation (72) shows we get the unperturbed Hamiltonian plus a contribution which is active only if there is a core hole (in which case $p_i p_i^\dagger \rightarrow 1$). The J_1 term involves single-site processes only and is therefore included in the general description in Sec. IV A. In the following, the J_1 term will be dropped.

For simplicity, polarization effects are neglected and we assume U_c to be independent of the specific dipole transition. We take

$$\hat{D} = \sum_i (e^{-i\mathbf{q}_{\text{in}} \cdot \mathbf{R}_i} p_i d_i^\dagger + e^{i\mathbf{q}_{\text{out}} \cdot \mathbf{R}_i} p_i^\dagger d_i) + \text{H.c.} \quad (75)$$

with p_i the $2p$ electron annihilation operator and d_i the $3d e_g$ electron annihilation operator. The position of the i th site is \mathbf{R}_i . The transferred momentum is $\mathbf{q} = \mathbf{q}_{\text{out}} - \mathbf{q}_{\text{in}}$. The neglected polarization dependence could give rise to a \mathbf{q} -dependent factor in the cross section but will not affect the line shape for a specific \mathbf{q} .

The relevant energy scale for the excitation of orbitons in the intermediate states via superexchange bond modulation is J_2 , as established above, as long as the core hole potential is of A_{1g} symmetry. This is the case when core-level spin-orbit coupling and Hund's rule coupling are large compared to J_2 : the core hole evolves rapidly with time and its potential's symmetry averages out to A_{1g} before any orbitons can be excited. Because the symmetry is effectively cubic, bonds in all directions are affected in the same way. The effective scattering operator must therefore be a function of $\sum_\delta \hat{A}_{i,i+\delta}^{(\gamma)}$ which is of A_{1g} symmetry. Nonlinear operators like $\hat{A}_{i,i+\delta}^{(\gamma)} \hat{A}_{i,i+\delta'}^{(\gamma)}$ are excluded, they are expected to yield smaller contributions because more and more distant sites are in-

volved. In the expansion Eq. (33), these come in at different orders. The only remaining candidate for the two-site effective scattering operator is therefore

$$\hat{O}_{\mathbf{q}} = \mathcal{M}_2 \sum_{i,\delta} e^{i\mathbf{q}\cdot\mathbf{R}_i} \hat{A}_{i,i+\delta}^{(\gamma)}, \quad (76)$$

where \mathcal{M}_2 is an unknown phenomenological matrix element, in the same way as in Sec. IV A. By construction, the two-site process matrix element \mathcal{M}_2 should be proportional to J_2 with a constant determined by the intermediate-state dynamical susceptibilities. At this stage, without microscopical calculations of the single-site \mathcal{M}_1 [Eq. (49)] and two-site \mathcal{M}_2 matrix elements, we cannot judge which coupling process dominates the observed RIXS on orbital excitations. Instead, we calculate two-site process independently and compare it with both experimental data and the results obtained above for single-site coupling mechanism.

As it turns out, the two-site effective scattering operator, Eq. (76), contains only two-orbital creation terms; it does not create single orbitons because the orbitons are constructed in the first place to diagonalize the Hamiltonian: all linear contributions to $\hat{A}_{ij}^{(\gamma)}$ in Eq. (2) are canceled (similar to the Raman-scattering calculations above).

Using again the transformations on the orbital operators mentioned in Ref. 5, condensing the ψ_c orbital and transforming to orbital operators, we obtain for the two-orbital creation part

$$\begin{aligned} \hat{O}_{\mathbf{q}}^{(2)} = \mathcal{M}_2 \sum_{\mathbf{k}} [f_{11}(\mathbf{k}, \mathbf{q}) \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}-\mathbf{q}}^\dagger + f_{22}(\mathbf{k}, \mathbf{q}) \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}}^\dagger \\ + f_{12}(\mathbf{k}, \mathbf{q}) \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}}^\dagger], \end{aligned} \quad (77)$$

where the $f_{ij}(\mathbf{k}, \mathbf{q})$ are lengthy functions listed in Appendix D. The cross section then is

$$\begin{aligned} \frac{d^2\sigma^{(2)}}{d\omega d\Omega} &\propto \sum_f |\langle f | \hat{O}_{\mathbf{q}}^{(2)} | 0 \rangle|^2 \delta(\omega - \omega_{1/2,\mathbf{k}} - \omega_{1/2,\mathbf{k}+\mathbf{q}}) \\ &= \frac{1}{2} \sum_{\mathbf{k}} [|f_{11}(\mathbf{k}, \mathbf{q}) + f_{11}(-\mathbf{k} - \mathbf{q}, \mathbf{q})|^2 \times \delta(\omega - \omega_{1,\mathbf{k}} \\ &\quad - \omega_{1,\mathbf{k}+\mathbf{q}}) + |f_{22}(\mathbf{k}, \mathbf{q}) + f_{22}(-\mathbf{k} - \mathbf{q}, \mathbf{q})|^2 \times \delta(\omega \\ &\quad - \omega_{2,\mathbf{k}} - \omega_{2,\mathbf{k}+\mathbf{q}}) + 2|f_{12}(\mathbf{k}, \mathbf{q})|^2 \delta(\omega - \omega_{1,\mathbf{k}} - \omega_{2,\mathbf{k}+\mathbf{q}})]. \end{aligned} \quad (78)$$

The resulting cross section for transferred momenta along the [001] direction is shown in Fig. 7. As in the above sections, we introduced here by hand an energy broadening γ of the orbital states of $\gamma=0.4J_{\text{orb}}$.

A few things should be noted. First, the spectrum disappears at $\mathbf{q}=\mathbf{0}$. This is clear from Eq. (76): the scattering operator becomes proportional to the Hamiltonian Eq. (1), giving elastic scattering only.

Second, the spectrum shown in Fig. 7 is calculated without taking polarization dependence into account. That could change the relative spectral weight for different \mathbf{q} 's but does not affect the line shapes.

In Fig. 8 we compare the calculated superexchange spectra for the specific \mathbf{q} values of the experiments reported in

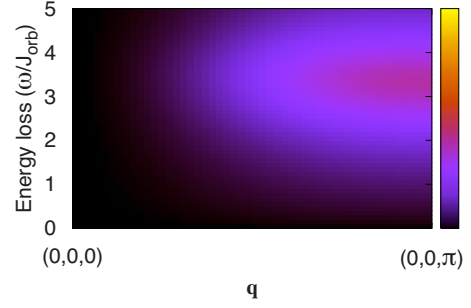


FIG. 7. (Color online) RIXS spectrum for two-site processes within the superexchange model. The color scale denotes the intensity. The figure shows \mathbf{q} running from $(0,0,0)$ to $(0,0,\pi)$. One-orbital creation is not allowed for the superexchange modulation mechanism. The intrinsic energy broadening γ of the orbital states is $\gamma=0.4J_{\text{orb}} \approx 30$ meV and the added experimental resolution of 27.5 meV (Ref. 23) is approximately $0.34J_{\text{orb}}$.

Ref. 23. The only free parameter (J_{orb}) gives a best fit for $J_{\text{orb}}=75$ meV. As is evident, the increase in spectral weight is qualitatively accounted for by the theory, although the theoretical curves show a much stronger increase with increasing q_z . We note that one factor that could diminish this discrepancy is, as stated above, the polarization factor we omitted: it could change the relative weight (but not the line shape). Summarizing, two-site processes can capture some of the features seen in the RIXS data (the intensity trend with increasing momentum transfer and a single peak without dispersion) but the overall fit is less satisfactory compared to the results of the single-site process shown in Fig. 3.

V. CONCLUSIONS

We have considered two different models, widely discussed in literature to describe orbital physics in titanites, in the context of Raman and x-ray scattering experiments. These models correspond to two limiting cases where the orbital ground state is dominated either by collective superexchange interactions among orbitals or by their coupling to lattice distortions. The models predictions, obtained within the same level of approximations, are compared to the experimental data on Raman (Fig. 1) and on x-ray (Figs. 3 and 5) scattering in titanites. What is evident from this comparison and our detailed analysis is that the local crystal-field model of YTiO_3 fails to give a coherent explanation of both Raman and RIXS data taken together. There is no way one can get rid of the two-peak structure predicted for RIXS by this model without artificially fine-tuning its parameters. Further, once tuned to the RIXS spectra, the Raman spectra will be impossible to fit with the local model anyway since it yields double dd excitations, different from the single-crystal-field excitations in RIXS. Experimentally, however, both techniques show a peak at the same energy. Also, a huge anisotropy between out-of-plane and in-plane polarizations is predicted by the local model, which is not observed in Raman data. Further, the temperature dependence of the experimental data is hard to explain from a local model: the intensity of crystal-field transitions is expected to remain un-

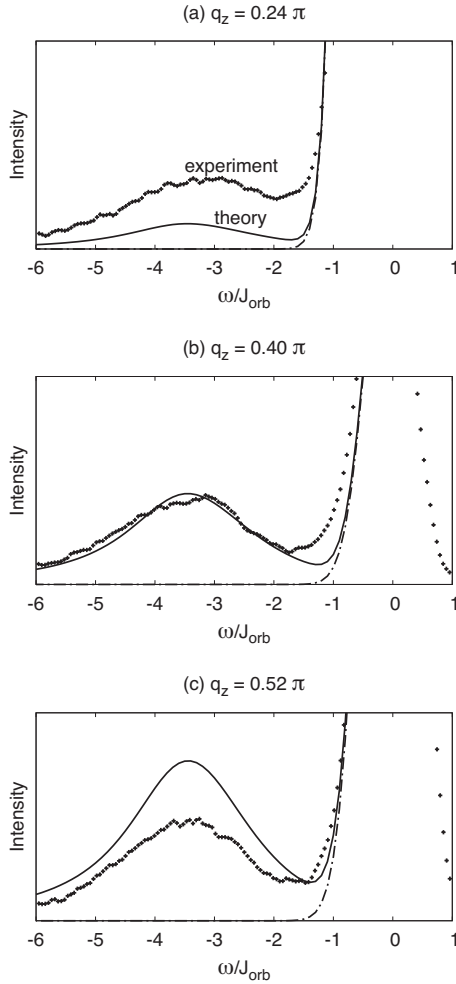


FIG. 8. Theoretical RIXS spectra for two-site processes, calculated within the superexchange model (solid line), compared to experiment (Ref. 23). \mathbf{q} is directed along the [001] direction, with q_z as indicated. We obtain a best fit for $J_{\text{orb}} = 75$ meV. The solid lines are cuts from the plot of Fig. 7, where we added a Gaussian fit to the elastic peak (dashed-dotted line). A phenomenological intrinsic orbital broadening of $0.4r_1J_{SE} \approx 30$ meV is added as well as the experimental resolution of 27.5 meV (both HWHM) (Ref. 23).

changed. Finally, the \mathbf{q} dependence of the RIXS intensity is not reproduced by the local model; in fact, the trend is opposite. We believe especially the last four points are robust evidence that the 250 meV peak seen in Raman and RIXS is not due to local dd excitations.

On the other side, the picture of collective excitations offers much better and broad agreement with the experimental data. The general features of both the Raman and RIXS data are reproduced by the superexchange model. For RIXS we presented a phenomenological scattering operator for single and two-site processes, evaluated within the superexchange model. Although both single and two-site processes get the general trends of the RIXS data right, the two-site processes clearly have a too strong \mathbf{q} dependence of the intensity. The RIXS spectra obtained with the single-site operator fit the data very well, suggesting that this process of generating orbitons might be the predominant one in the transition-metal oxides. The only slight deviation from the

experiments is the one-orbital peak, which our theory overestimates. However, we note that the theoretical one-orbital peak is decreased if we consider realistic conditions such as finite temperature and residual spin-orbital quantum fluctuations, which obstruct the orbital order and reduce $|c_0|^2$, which in its turn controls the one-orbital spectral weight.

Reference 23 reported that the RIXS intensities in LaTiO_3 and YTiO_3 show different \mathbf{q} dependences: while the intensity in YTiO_3 increases with \mathbf{q} , it decreases in LaTiO_3 . On a qualitative level, this contrasting behavior can be understood from the superexchange picture as a manifestation of the (dynamical) Goodenough-Kanamori rules, according to which the spin and orbital correlations are complementary to each other. This implies that the spin and orbital susceptibilities are expected to behave in an opposite fashion. Since magnetic orderings in YTiO_3 and LaTiO_3 are different (ferromagnetic and antiferromagnetic, respectively), collective response of orbitals in these compounds are expected to be enhanced also at different portions of the Brillouin zone: at large \mathbf{q} in YTiO_3 and, in contrast, at small \mathbf{q} in LaTiO_3 , which are complementary to the respective locations of their magnetic Bragg peaks. The superexchange picture suggests also that the \mathbf{q} dependence of the orbital RIXS intensity should have cubic symmetry in both LaTiO_3 and YTiO_3 , as follows from their isotropic spin wave^{6,35} and Raman spectra.²¹ Future RIXS experiments in titanites would be useful to verify these expectations.

A previous estimate⁵ from neutron spin-wave data³⁵ puts the orbital exchange constant J_{orb} at 60 meV. In close agreement with this estimate, the theoretical Raman spectrum fits best to experiment when $J_{\text{orb}} = 65$ meV (vertex corrections may change this number, though). Matching to a lesser degree to the estimate, we find for the RIXS spectra $J_{\text{orb}} = 75$ and 80 meV for the two-site and single-site processes, respectively.

To establish the nature of the 250 meV peak, it is of great importance to search for the one-orbital peak. In Raman scattering one-orbital creation seems to be strongly suppressed but in RIXS it would be possible to see a one-orbital peak when \mathbf{q} is directed maximally along the [110] direction. There the one-orbital peak (around $\omega \approx 1.8J_{\text{orb}} \approx 140$ meV) is about as strong as the two-orbital continuum, assuming single-site processes are the dominant RIXS channel, and provided $|c_0|^2$ is not too small.

To summarize, we may conclude that the existing Raman and RIXS data in titanites are better described by the superexchange model. This implies that while some polarization of orbitals by static lattice distortions must be a part of a realistic, “ultimate” model for titanites, the orbital fluctuations which are intrinsic to the t_{2g} orbital superexchange process⁷ are not yet suppressed and strong enough to stabilize nearly isotropic charge distributions around the Ti ions.

On the technical side, we believe that our semiphenomenological approach to the RIXS problem which disentangles the high-energy intermediate-state dynamics from low-energy collective excitations of orbitals and spins may serve as a simple and efficient tool in the theoretical description of resonant inelastic x-ray scattering in oxides in general.

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APPENDIX A: RIXS—SINGLE-SITE PROCESSES

The angular momentum \hat{l} and quadrupole operators \hat{Q}, \hat{T} in Eqs. (40)–(42) are defined as follows:

$$\hat{l}_x = i(c^\dagger b - b^\dagger c), \quad (\text{A1})$$

$$\hat{l}_y = i(a^\dagger c - c^\dagger a), \quad (\text{A2})$$

$$\hat{l}_z = i(b^\dagger a - a^\dagger b), \quad (\text{A3})$$

$$\hat{Q}_x = \hat{l}_x^2 - \hat{l}_y^2 = n_b - n_a, \quad (\text{A4})$$

$$\hat{Q}_z = \frac{1}{\sqrt{3}}(\hat{l}_x^2 + \hat{l}_y^2 - 2\hat{l}_z^2) = \frac{1}{\sqrt{3}}(2n_c - n_a - n_b), \quad (\text{A5})$$

$$\hat{T}_x = \hat{l}_y \hat{l}_z + \hat{l}_z \hat{l}_y = -(b^\dagger c + c^\dagger b), \quad (\text{A6})$$

$$\hat{T}_y = \hat{l}_x \hat{l}_z + \hat{l}_z \hat{l}_x = -(c^\dagger a + a^\dagger c), \quad (\text{A7})$$

$$\hat{T}_z = \hat{l}_x \hat{l}_y + \hat{l}_y \hat{l}_x = -(a^\dagger b + b^\dagger a), \quad (\text{A8})$$

which are normalized by $\text{Tr}(\hat{\Gamma}^2)=2$. The corresponding matrices $\Gamma_{d'd}$ in Eq. (38) are

$$\begin{aligned} \Gamma^{Q_x} &= \frac{1}{2} \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Gamma^{Q_z} = \frac{1}{2\sqrt{3}} \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix}, \\ \Gamma^{T_x} &= -\frac{1}{2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \Gamma^{T_y} = -\frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \\ \Gamma^{T_z} &= -\frac{1}{2} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Gamma^{l_x} = \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & i \\ 0 & -i & 0 \end{pmatrix}, \\ \Gamma^{l_y} &= \frac{1}{2} \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \quad \Gamma^{l_z} = \frac{1}{2} \begin{pmatrix} 0 & i & 0 \\ -i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \end{aligned} \quad (\text{A9})$$

with the indices $d, d' = (yz, zx, xy)$ [or for polarization dependence: $\alpha, \beta = (x, y, z)$].

APPENDIX B: MULTIPLY FACTORS

For the multiplet effect factors in Eq. (43), we have

$$\begin{aligned} M_{d'd}^{A_{1g}} &= \sqrt{\frac{2}{3}} (\langle d' | \hat{x} | m \rangle \langle m | \hat{x} | d \rangle + \langle d' | \hat{y} | m \rangle \langle m | \hat{y} | d \rangle + \langle d' | \hat{z} | m \rangle \\ &\quad \times \langle m | \hat{z} | d \rangle), \end{aligned} \quad (\text{B1})$$

$$M_{d'd}^{Q_x} = (\langle d' | \hat{y} | m \rangle \langle m | \hat{y} | d \rangle - \langle d' | \hat{x} | m \rangle \langle m | \hat{x} | d \rangle), \quad (\text{B2})$$

$$\begin{aligned} M_{d'd}^{Q_z} &= \frac{1}{\sqrt{3}} (2 \langle d' | \hat{z} | m \rangle \langle m | \hat{z} | d \rangle - \langle d' | \hat{x} | m \rangle \langle m | \hat{x} | d \rangle - \langle d' | \hat{y} | m \rangle \\ &\quad \times \langle m | \hat{y} | d \rangle), \end{aligned} \quad (\text{B3})$$

$$M_{d'd}^{T_x} = -(\langle d' | \hat{y} | m \rangle \langle m | \hat{z} | d \rangle + \langle d' | \hat{z} | m \rangle \langle m | \hat{y} | d \rangle), \quad (\text{B4})$$

$$M_{d'd}^{T_y} = -(\langle d' | \hat{z} | m \rangle \langle m | \hat{x} | d \rangle + \langle d' | \hat{x} | m \rangle \langle m | \hat{z} | d \rangle), \quad (\text{B5})$$

$$M_{d'd}^{T_z} = -(\langle d' | \hat{x} | m \rangle \langle m | \hat{y} | d \rangle + \langle d' | \hat{y} | m \rangle \langle m | \hat{x} | d \rangle), \quad (\text{B6})$$

$$M_{d'd}^{l_x} = -i(\langle d' | \hat{y} | m \rangle \langle m | \hat{z} | d \rangle - \langle d' | \hat{z} | m \rangle \langle m | \hat{y} | d \rangle), \quad (\text{B7})$$

$$M_{d'd}^{l_y} = -i(\langle d' | \hat{z} | m \rangle \langle m | \hat{x} | d \rangle - \langle d' | \hat{x} | m \rangle \langle m | \hat{z} | d \rangle), \quad (\text{B8})$$

$$M_{d'd}^{l_z} = -i(\langle d' | \hat{x} | m \rangle \langle m | \hat{y} | d \rangle - \langle d' | \hat{y} | m \rangle \langle m | \hat{x} | d \rangle). \quad (\text{B9})$$

Note that the position operators act on the core electrons, not the t_{2g} ones. Both the core and t_{2g} electrons are implied in the states $|d\rangle, |d'\rangle$.

APPENDIX C: THE OPERATORS $\hat{\Gamma}$ IN TERMS OF ORBITONS

In terms of the orbiton operators, we obtain the one-orbiton creation part of $\hat{\Gamma}_{\mathbf{q}} = \sum_i e^{i\mathbf{q} \cdot \mathbf{r}_i} \hat{\Gamma}_i$ to be

$$\begin{aligned} \hat{\Gamma}_{x,\mathbf{q}}^{(1)} &= \frac{i|c_0|}{2} \sqrt{\frac{N}{3}} [\{(1 - \sqrt{3})u_{\mathbf{q}_1+\mathbf{q}} + (1 + \sqrt{3})v_{\mathbf{q}_1+\mathbf{q}}\}(\text{sh } \theta_{1,\mathbf{q}_1+\mathbf{q}} \\ &\quad + \text{ch } \theta_{1,\mathbf{q}_1+\mathbf{q}})\alpha_{1,-\mathbf{q}_1-\mathbf{q}}^\dagger + \{(-1 - \sqrt{3})u_{\mathbf{q}_1+\mathbf{q}} + (1 - \sqrt{3})v_{\mathbf{q}_1+\mathbf{q}}\} \\ &\quad \times (\text{sh } \theta_{2,\mathbf{q}_1+\mathbf{q}} + \text{ch } \theta_{2,\mathbf{q}_1+\mathbf{q}})\alpha_{2,-\mathbf{q}_1-\mathbf{q}}^\dagger], \end{aligned} \quad (\text{C1})$$

$$\begin{aligned} \hat{\Gamma}_{y,\mathbf{q}}^{(1)} &= \frac{i|c_0|}{2} \sqrt{\frac{N}{3}} [\{(1 + \sqrt{3})u_{\mathbf{q}_2+\mathbf{q}} + (1 - \sqrt{3})v_{\mathbf{q}_2+\mathbf{q}}\}(\text{sh } \theta_{1,\mathbf{q}_2+\mathbf{q}} \\ &\quad + \text{ch } \theta_{1,\mathbf{q}_2+\mathbf{q}})\alpha_{1,-\mathbf{q}_2-\mathbf{q}}^\dagger + \{(-1 + \sqrt{3})u_{\mathbf{q}_2+\mathbf{q}} + (1 + \sqrt{3})v_{\mathbf{q}_2+\mathbf{q}}\} \\ &\quad \times (\text{sh } \theta_{2,\mathbf{q}_2+\mathbf{q}} + \text{ch } \theta_{2,\mathbf{q}_2+\mathbf{q}})\alpha_{2,-\mathbf{q}_2-\mathbf{q}}^\dagger], \end{aligned} \quad (\text{C2})$$

$$\begin{aligned} \hat{\Gamma}_{z,\mathbf{q}}^{(1)} &= -i|c_0| \sqrt{\frac{N}{3}} [(u_{\mathbf{q}_3+\mathbf{q}} + v_{\mathbf{q}_3+\mathbf{q}})(\text{sh } \theta_{1,\mathbf{q}_3+\mathbf{q}} \\ &\quad + \text{ch } \theta_{1,\mathbf{q}_3+\mathbf{q}})\alpha_{1,-\mathbf{q}_3-\mathbf{q}}^\dagger + (v_{\mathbf{q}_3+\mathbf{q}} - u_{\mathbf{q}_3+\mathbf{q}})(\text{sh } \theta_{2,\mathbf{q}_3+\mathbf{q}} \\ &\quad + \text{ch } \theta_{2,\mathbf{q}_3+\mathbf{q}})\alpha_{2,-\mathbf{q}_3-\mathbf{q}}^\dagger], \end{aligned} \quad (\text{C3})$$

$$\begin{aligned} \hat{T}_{x,\mathbf{q}}^{(1)} &= \frac{|c_0|}{6} \sqrt{N} [\{(1 + \sqrt{3})u_{\mathbf{q}_1+\mathbf{q}} + (-1 + \sqrt{3})v_{\mathbf{q}_1+\mathbf{q}}\}(\text{ch } \theta_{1,\mathbf{q}_1+\mathbf{q}} \\ &\quad - \text{sh } \theta_{1,\mathbf{q}_1+\mathbf{q}})\alpha_{1,-\mathbf{q}_1-\mathbf{q}}^\dagger + \{(1 - \sqrt{3})u_{\mathbf{q}_1+\mathbf{q}} + (1 + \sqrt{3})v_{\mathbf{q}_1+\mathbf{q}}\} \\ &\quad \times (\text{ch } \theta_{2,\mathbf{q}_1+\mathbf{q}} - \text{sh } \theta_{2,\mathbf{q}_1+\mathbf{q}})\alpha_{2,-\mathbf{q}_1-\mathbf{q}}^\dagger], \end{aligned} \quad (\text{C4})$$

$$\hat{T}_{y,q}^{(1)} = \frac{|c_0|}{6} \sqrt{N} [\{(1 - \sqrt{3})u_{\mathbf{q}_2+\mathbf{q}} + (-1 - \sqrt{3})v_{\mathbf{q}_2+\mathbf{q}}\}(\text{ch } \theta_{1,\mathbf{q}_2+\mathbf{q}} - \text{sh } \theta_{1,\mathbf{q}_2+\mathbf{q}})\alpha_{1,-\mathbf{q}_1-\mathbf{q}}^\dagger + \{(1 + \sqrt{3})u_{\mathbf{q}_2+\mathbf{q}} + (1 - \sqrt{3})v_{\mathbf{q}_2+\mathbf{q}}\} \times (\text{ch } \theta_{2,\mathbf{q}_2+\mathbf{q}} - \text{sh } \theta_{2,\mathbf{q}_2+\mathbf{q}})\alpha_{2,-\mathbf{q}_2-\mathbf{q}}^\dagger], \quad (\text{C5})$$

$$\hat{T}_{z,q}^{(1)} = -\frac{|c_0|}{3} \sqrt{N} [(u_{\mathbf{q}_3+\mathbf{q}} - v_{\mathbf{q}_3+\mathbf{q}})(\text{ch } \theta_{1,\mathbf{q}_3+\mathbf{q}} - \text{sh } \theta_{1,\mathbf{q}_3+\mathbf{q}})\alpha_{1,-\mathbf{q}_3-\mathbf{q}}^\dagger + (u_{\mathbf{q}_3+\mathbf{q}} + v_{\mathbf{q}_3+\mathbf{q}})(\text{ch } \theta_{2,\mathbf{q}_3+\mathbf{q}} - \text{sh } \theta_{2,\mathbf{q}_3+\mathbf{q}})\alpha_{2,-\mathbf{q}_3-\mathbf{q}}^\dagger], \quad (\text{C6})$$

$$\hat{Q}_{x,q}^{(1)} = |c_0| \sqrt{\frac{N}{3}} [-(u_{\mathbf{q}} + v_{\mathbf{q}})(\text{ch } \theta_{1,\mathbf{q}} - \text{sh } \theta_{1,\mathbf{q}})\alpha_{1,-\mathbf{q}}^\dagger + (u_{\mathbf{q}} - v_{\mathbf{q}}) \times (\text{ch } \theta_{2,\mathbf{q}} - \text{sh } \theta_{2,\mathbf{q}})\alpha_{2,-\mathbf{q}}^\dagger], \quad (\text{C7})$$

$$\hat{Q}_{z,q}^{(1)} = -|c_0| \sqrt{\frac{N}{3}} [(u_{\mathbf{q}} - v_{\mathbf{q}})(\text{ch } \theta_{1,\mathbf{q}} - \text{sh } \theta_{1,\mathbf{q}})\alpha_{1,-\mathbf{q}}^\dagger + (u_{\mathbf{q}} + v_{\mathbf{q}}) \times (\text{ch } \theta_{2,\mathbf{q}} - \text{sh } \theta_{2,\mathbf{q}})\alpha_{2,-\mathbf{q}}^\dagger] \quad (\text{C8})$$

with $\mathbf{q}_1 = (\pi, 0, \pi)$, $\mathbf{q}_2 = (\pi, \pi, 0)$, and $\mathbf{q}_3 = (0, \pi, \pi)$. The expressions for the two-orbion creation part of $\hat{\Gamma}_{\mathbf{q}} = \sum_i e^{i\mathbf{q} \cdot \mathbf{r}_i} \hat{\Gamma}_i$ are

$$\hat{\Gamma}_{x,q}^{(2)} = \frac{i}{\sqrt{3}} \sum_{\mathbf{k}} [(vu' - uv') \text{ch } \theta_1 \text{sh } \theta'_1 \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}-\mathbf{q}_1-\mathbf{q}}^\dagger + (vu' - uv') \text{ch } \theta_2 \text{sh } \theta'_2 \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_1-\mathbf{q}}^\dagger + (uu' + vv') \times (\text{ch } \theta_1 \text{sh } \theta'_2 - \text{sh } \theta_1 \text{ch } \theta'_2) \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_1-\mathbf{q}}^\dagger] \quad (\text{C9})$$

with $u, v, \theta_1, \theta_2 = u_{\mathbf{k}}, v_{\mathbf{k}}, \theta_{1,\mathbf{k}}, \theta_{2,\mathbf{k}}$ and $u', v', \theta'_1, \theta'_2 = u_{\mathbf{k}+\mathbf{q}_1+\mathbf{q}}, v_{\mathbf{k}+\mathbf{q}_1+\mathbf{q}}, \theta_{1,\mathbf{k}+\mathbf{q}_1+\mathbf{q}}, \theta_{2,\mathbf{k}+\mathbf{q}_1+\mathbf{q}}$. Further, $\hat{\Gamma}_{y,q}^{(2)}$ and $\hat{\Gamma}_{z,q}^{(2)}$ have the same form as $\hat{\Gamma}_{x,q}^{(2)}$ but with \mathbf{q}_1 replaced by \mathbf{q}_2 and \mathbf{q}_3 , respectively. Next,

$$\hat{T}_{x,q}^{(2)} = \sum_{\mathbf{k}} \left[\left\{ -(uu' + vv') + \frac{1}{\sqrt{3}}(uu' - vv') + \frac{1}{3}(uv' + vu') \right\} \text{ch } \theta_1 \text{sh } \theta'_1 \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}-\mathbf{q}_1-\mathbf{q}}^\dagger + \left\{ -(uu' + vv') - \frac{1}{\sqrt{3}}(uu' - vv') - \frac{1}{3}(uv' + vu') \right\} \text{ch } \theta_2 \text{sh } \theta'_2 \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_1-\mathbf{q}}^\dagger + \left\{ -(uv' - vu') - \frac{1}{\sqrt{3}}(uv' + vu') - \frac{1}{3}(uu' - vv') \right\} \times (\text{ch } \theta_1 \text{sh } \theta'_2 + \text{sh } \theta_1 \text{ch } \theta'_2) \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_1-\mathbf{q}}^\dagger \right], \quad (\text{C10})$$

$$\hat{T}_{y,q}^{(2)} = \sum_{\mathbf{k}} \left[\left\{ -(uu' + vv') - \frac{1}{\sqrt{3}}(uu' - vv') + \frac{1}{3}(uv' + vu') \right\} \text{ch } \theta_1 \text{sh } \theta'_1 \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}-\mathbf{q}_2-\mathbf{q}}^\dagger + \left\{ -(uu' + vv') + \frac{1}{\sqrt{3}}(uu' - vv') - \frac{1}{3}(uv' + vu') \right\} \text{ch } \theta_2 \text{sh } \theta'_2 \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_2-\mathbf{q}}^\dagger + \left\{ -(uv' - vu') + \frac{1}{\sqrt{3}}(uv' + vu') - \frac{1}{3}(uu' - vv') \right\} \times (\text{ch } \theta_1 \text{sh } \theta'_2 + \text{sh } \theta_1 \text{ch } \theta'_2) \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_2-\mathbf{q}}^\dagger \right], \quad (\text{C11})$$

where in the expression for $\hat{T}_{y,q}^{(2)}$ we replaced \mathbf{q}_1 by \mathbf{q}_2 : $u', v', \theta'_1, \theta'_2 = u_{\mathbf{k}+\mathbf{q}_2+\mathbf{q}}, v_{\mathbf{k}+\mathbf{q}_2+\mathbf{q}}, \theta_{1,\mathbf{k}+\mathbf{q}_2+\mathbf{q}}, \theta_{2,\mathbf{k}+\mathbf{q}_2+\mathbf{q}}$,

$$\hat{T}_{z,q}^{(2)} = \sum_{\mathbf{k}} \left[\left\{ -(uu' + vv') - \frac{2}{3}(uv' + vu') \right\} \text{ch } \theta_1 \text{sh } \theta'_1 \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}-\mathbf{q}_3-\mathbf{q}}^\dagger + \left\{ -(uu' + vv') + \frac{2}{3}(uv' + vu') \right\} \text{ch } \theta_2 \text{sh } \theta'_2 \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_3-\mathbf{q}}^\dagger + \left\{ -(uv' - vu') + \frac{2}{3}(uu' - vv') \right\} (\text{ch } \theta_1 \text{sh } \theta'_2 + \text{sh } \theta_1 \text{ch } \theta'_2) \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}_3-\mathbf{q}}^\dagger \right], \quad (\text{C12})$$

where we replaced \mathbf{q}_1 by \mathbf{q}_3 : $u', v', \theta'_1, \theta'_2 = u_{\mathbf{k}+\mathbf{q}_3+\mathbf{q}}, v_{\mathbf{k}+\mathbf{q}_3+\mathbf{q}}, \theta_{1,\mathbf{k}+\mathbf{q}_3+\mathbf{q}}, \theta_{2,\mathbf{k}+\mathbf{q}_3+\mathbf{q}}$. Finally,

$$\hat{Q}_{x,q}^{(2)} = -\frac{1}{\sqrt{3}} \sum_{\mathbf{k}} [-(uu' - vv') \text{ch } \theta_1 \text{sh } \theta'_1 \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}-\mathbf{q}}^\dagger + (uu' - vv') \text{ch } \theta_2 \text{sh } \theta'_2 \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}}^\dagger + (uv' + vu') (\text{ch } \theta_1 \text{sh } \theta'_2 + \text{sh } \theta_1 \text{ch } \theta'_2) \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}}^\dagger], \quad (\text{C13})$$

$$\hat{Q}_{z,q}^{(2)} = \frac{1}{\sqrt{3}} \sum_{\mathbf{k}} [(uv' + vu') \text{ch } \theta_1 \text{sh } \theta'_1 \alpha_{1,\mathbf{k}}^\dagger \alpha_{1,-\mathbf{k}-\mathbf{q}}^\dagger - (uv' + vu') \text{ch } \theta_2 \text{sh } \theta'_2 \alpha_{2,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}}^\dagger - (uu' - vv') (\text{ch } \theta_1 \text{sh } \theta'_2 + \text{sh } \theta_1 \text{ch } \theta'_2) \alpha_{1,\mathbf{k}}^\dagger \alpha_{2,-\mathbf{k}-\mathbf{q}}^\dagger], \quad (\text{C14})$$

where in both equations we replaced \mathbf{q}_1 by $\mathbf{0}$: $u', v', \theta'_1, \theta'_2 = u_{\mathbf{k}+\mathbf{q}}, v_{\mathbf{k}+\mathbf{q}}, \theta_{1,\mathbf{k}+\mathbf{q}}, \theta_{2,\mathbf{k}+\mathbf{q}}$.

APPENDIX D: RIXS—TWO-SITE PROCESSES WITH SUPEREXCHANGE MODEL

Functions f_{11}, f_{22} and f_{12} in Eqs. (77) and (78) are

$$f_{11}(\mathbf{k}, \mathbf{q}) = [-\gamma_{3,\mathbf{q}}(uv' + u'v) - \gamma_{2,\mathbf{q}}(uu' - vv') - (1 + \gamma_{1,\mathbf{q}}) \times (uu' + vv')] (\text{ch } \theta_1 \text{ sh } \theta'_1 + \text{sh } \theta_1 \text{ ch } \theta'_1) + 2[\gamma'_1(uu' + vv') + \gamma'_2(uu' - vv') + \gamma'_3(uv' + u'v)] (\text{sh } \theta_1 \text{ sh } \theta'_1 + \text{ch } \theta_1 \text{ ch } \theta'_1), \quad (\text{D1})$$

$$f_{22}(\mathbf{k}, \mathbf{q}) = [\gamma_{3,\mathbf{q}}(uv' + u'v) + \gamma_{2,\mathbf{q}}(uu' - vv') - (1 + \gamma_{1,\mathbf{q}})(uu' + vv')] (\text{ch } \theta_2 \text{ sh } \theta'_2 + \text{sh } \theta_2 \text{ ch } \theta'_2) + 2[\gamma'_1(uu' + vv') - \gamma'_2(uu' - vv') - \gamma'_3(uv' + u'v)] \times (\text{sh } \theta_2 \text{ sh } \theta'_2 + \text{ch } \theta_2 \text{ ch } \theta'_2), \quad (\text{D2})$$

$$f_{12}(\mathbf{k}, \mathbf{q}) = 2[\gamma_{3,\mathbf{q}}(uu' - vv') + \gamma_{2,\mathbf{q}}(uv' + u'v) - (1 + \gamma_{1,\mathbf{q}}) \times (uv' - u'v)] (\text{ch } \theta_1 \text{ sh } \theta'_2 + \text{sh } \theta_1 \text{ ch } \theta'_2) + 4[\gamma'_1(uv' - u'v) + \gamma'_2(uv' + u'v) - \gamma'_3(uu' - vv')] (\text{sh } \theta_1 \text{ sh } \theta'_2 + \text{ch } \theta_1 \text{ ch } \theta'_2), \quad (\text{D3})$$

where we shortened notation by writing $\theta_{1/2} = \theta_{1/2,\mathbf{k}}$, $\theta'_{1/2} = \theta_{1/2,\mathbf{k}+\mathbf{q}}$, $u(\mathbf{r}) = u_{\mathbf{k}(\mathbf{r})}$, $v(\mathbf{r}) = v_{\mathbf{k}(\mathbf{r})}$, and $\gamma'_i = \gamma_{i,\mathbf{k}+\mathbf{q}}$.

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